



B

1754  
IFW

294G 1839-8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DANIEL T. COLBERT et al.

Serial No.: 10/027,726

Filed: December 21, 2001

For: CONTINUOUS FIBER OF SINGLE-  
WALL CARBON NANOTUBES

Art Unit: 1754

Examiner: Lish, Peter J.

CITATION OF PRIOR ART

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In regards to the above-identified application, it is respectfully requested that the Examiner consider each relevant prior art listed below:

A. Publication Article "Morphological Modeling of Atomic Force Microscopy Imaging Including Nanostructure Probes and Fibrinogen Molecules" written by D.L. Wilson et al, pages 2407-2416, published by American Vacuum Society in July 1996 in the U.S., being accompanied by a copy of Web page of American Vacuum Society showing that the Article was published in July, 1996;

B. Publication Article "Unraveling Nanotubes: Field Emission From an Atomic Wire" written by A.G. Rinzler et al, pages 1550-1553 in *SCIENCE* magazine and published on September 15, 1995 in the U.S.; and

C. Publication Article "Morphological Restoration of Atomic Force Microscopy Images" written by David L. Wilson, et al, pages 265-272, published by American Chemical Society in 1995 in the U.S.

The prior art A discloses the use of tip end of a minute or very small probe, which is made of nanotubes and Backy tubes or balls, in an atomic force microscopy (AMF) for executing

AMF scanning. For measured electron-beam deposited carbon probes,  $B \approx 0.015 \text{ nm}^{-1}$  is determined in this prior art as discussed on pages 2409 and 2410.


The prior art B discloses a multi-layer (multiwalled) carbon nanotube attached to the stalk made of a plurality of other nanotubes with its tip end projecting out as seen from Fig. 1 on page 1550 and Fig. 3 on page 1552. The attachment of the nanotube to the stalk is made by Van Der Waals (vdW) forces.

The prior art C discloses on pages 268 and 269 ultra-sharp carbon spikes grown on top of silicon nitride pyramid tips. The carbon spikes are grown by first soaking the entire cantilever assembly in acetone and then exposing the apex of the  $\text{Si}_3\text{N}_4$  tip to a stationary focused beam for two minutes. In other words, the prior art C discloses a needle-like carbon spike grown to project on a silicon nitride pyramid.

In view of the above, it is respectfully requested that the above prior art be entered and considered.

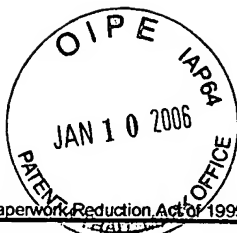
Please charge any addition costs incurred to Koda & Androlia Deposit Account 11-1445.

Respectfully Submitted,

By:   
William L. Androlia  
Reg. No. 27,177

2029 Century Park East, Suite 1140  
Los Angeles, CA 90067  
Tel: 310-277-1391  
Fax: 310-277-4118

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:	
Commissioner for Patents	
P.O. Box 1450	
Alexandria, VA 22313-1450 on	
January 6, 2006	
Date of Deposit	
William L. Androlia	
Name	
1/6/2006	
Signature	Date



PTO/SB/088 (07-05)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/027,726

Filing Date December 21, 2001

First Named Inventor DANIEL T. COLBERT

Art Unit 1754

Examiner Name Lish, Peter J.

Attorney Docket Number 294G 1839-8

Sheet

of

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	"Morphological Modeling of Atomic Force Microscopy Imaging Including Nanostructure Probes and Fibrinogen Molecules" written by D.L. Wilson et al, pages 2407-2416, published by American Vacuum Society in July 1996 in the U.S., accompanied by a copy of Web page of American Vacuum Society showing that the Article was published in July, 1996	
	B	"Unraveling Nanotubes: Field Emission From an Atomic Wire" written by A.G. Rinzler et al, pages 1550-1553 in SCIENCE magazine and published on September 15, 1995 in the U.S.	
	C	"Morphological Restoration of Atomic Force Microscopy Images" written by David L. Wilson, et al, pages 265-272, published by American Chemical Society in 1995 in the U.S.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.